



# 2017 ANNUAL ACTION PLAN COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM

Rochester, MN

To Be Submitted to HUD: November 15, 2016

Approved:

**Final**

## Executive Summary

### AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

#### 1. Introduction

The Consolidated Plan for the City of Rochester, Minnesota was completed in response to requirements set forth by the Department of Housing and Urban Development (HUD). The City of Rochester only receives funding from the Community Development Block Grant program.

The purpose of the Consolidated Plan and Annual Action Plan is:

- To identify the city's housing and community development needs, priorities, goals and strategies;
- To stipulate how funds will be allocated to area housing and community development nonprofit organizations and governmental units.

The Annual Action Plan addresses how the City intends to spend its 2017 HUD Entitlement funds in the areas of housing and community development.

The 2017 Annual Action Plan is year three of the 2015-2019 Five Year Consolidated Plan.

#### 2. Summarize the objectives and outcomes identified in the Plan

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

Estimated Housing Needs	Five Year Goals
Acquisition of existing rental units	0
Production of new rental units	0
Rehabilitation of existing rental units	3
Rental Assistance	0
Annual Action Plan 2017	

Acquisition of existing owner units	0
Production of new owner units	0
Rehabilitation of existing owner units	80
Homeownership Assistance	0
Rehabilitation Special Needs Housing	5
Rehabilitation Homeless Shelters	3
Public Facilities	10
Neighborhood Facilities	1

(City Park & Recreation)

Planning - 2 projects

The objective of performance is to provide safe, decent and affordable housing by preserving the existing housing stock and developing opportunities for the addition of new affordable rental and homeownership units. This will assist in revitalizing residential neighborhoods of desirable quality and stability, by representing Rochester's citizens in terms of race, income group and household type.

### 3. Evaluation of past performance

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

Summary of Past Performance, Program Years 2015-2018. As reported in the 2015 CAPER.

<u>Activity</u>	<u>Total</u>
Rental Owners	0
Homeless Housing	811
Owner Housing	25
Special Needs Housing	3
Public Facilities	4

Annual Action Plan  
2017

3

Public Service	193
Planning	0

During this past year, the City of Rochester has met their goals by utilizing CDBG funds for projects addressing housing needs (rental, homeless, owner occupied, special needs) improving public facilities and public service activities.

#### **4. Summary of Citizen Participation Process and consultation process**

Summary from citizen participation section of plan.

Citizen input is important to solicit throughout the development of the Annual Action Plan for the City of Rochester. As such the City of Rochester adopted a Citizen's Participation Plan in 1990 and was updated in April, 2006. The adopted Citizen Participation Plan includes information on the CDBG program, offers technical assistance, allows for the public to assess all aspects of the performance of the CDBG program, process for grievances/complaints, application for funding process, and public hearing processes, including utilizing bi-lingual staff if needed.

The City of Rochester conducts public hearings to obtain citizen views, respond to questions, development needs and review of proposed activities prior to determining final appropriations. Copies of the proposed use of funds will be available at City Hall, the Rochester-Olmsted Planning Department and the city's website.

A notice that the City of Rochester was accepting applications for the 2017 CDBG program was published in the local newspaper on July 28, 2016. The notice also included the dates for two public hearings, the first being September 7, 2016 and the second being October 3, 2016. The first public hearing provided opportunity for public input. The second public hearing the City Council announced their 2017 CDBG allocations. A copy of the notice and summary of eligible CDBG activities was also sent to non-profits advocates serving low-moderate income persons, social service agencies, homeless and housing providers, health professionals, and local government agencies addressing community development needs in the area.

#### **5. Summary of public comments**

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

The following agencies attended the first public hearing held on September 7, 2016:

Women's Shelter

Hiawatha Homes Foundation

Accessible Space, Inc.

Center City Housing Corporation

Rochester Area Habitat for Humanity

Ability Building Center

**6. Summary of comments or views not accepted and the reasons for not accepting them**

No unaccepted views or comments were received.

**7. Summary**

## PR-05 Lead & Responsible Agencies – 91.200(b)

### 1. Agency/entity responsible for preparing/administering the Consolidated Plan

Describe the agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
CDBG Administrator		City of Rochester, MN

Table 1 – Responsible Agencies

### Narrative (optional)

Through a cooperative agreement, staffing for the City of Rochester's Community Development Block Grant (CDBG) program is provided by the Rochester-Olmsted Planning Department. The Planning Department is a consolidation of City and County jurisdictions.

### Consolidated Plan Public Contact Information

## **AP-10 Consultation – 91.100, 91.200(b), 91.215(I)**

### **1. Introduction**

For completion of the City's Five Year Consolidated Plan, the conducted a public meeting on September 10, 2014. A notice was placed in the local newspaper inviting interested parties the opportunity to voice their opinions regarding the City's housing and development needs. No one attended this public meeting. The draft copy of the 2015-2019 Consolidated Plan was available to the public for comment for a 30 day period beginning October 9, 2014 until November 7, 2014.

In addition the surveys conducted by the City of Rochester for its 2015-2019 Consolidated Plan, information/data that was included from the November, 2014 release of the Comprehensive Housing Needs Assessment for Olmsted County, Minnesota completed by the Maxfield Research, Inc. was accessed and used in City's 2015-2019 Consolidated Plan. The Maxfield Research, Inc. engaged with Olmsted County Community Services (in coordination with the Mayo Clinic, Rochester Area Foundation and City of Rochester) to conduct a Comprehensive Housing Needs Assessment for Olmsted County. The primary research included interviews with rental property managers and owners, developers, business leaders, the local CoC regarding homeless data (Rochester is part of the SE MN Regional Continuum of Care (CoC) Network City staff and others involved in the housing market in Olmsted County.

### **Provide a concise summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(I))**

For the 2017 Annual Action Plan, the City of Rochester published a notice in the local newspaper requesting applications for the 2017 CDBG funding year. The City also conducted to public hearings regarding the allocations for the 2017 CDBG program year.

The City of Rochester participated in the Comprehensive Housing Needs Assessment for Olmsted County, Minnesota, conducted by Maxfield Research, Inc. The Rochester Area Foundation, Mayo Clinic, City of Rochester and Olmsted County engaged towards the completion of the study. The study provides results of the Shelter Survey completed by the MN Department of Children, Families and Learning, conducted two times a year.

The City of Rochester works with a number of public and private agencies that address the needs of low-moderate income persons, including but not limited to, affordable housing issues, coordinated housing assistance and services of homeless persons. The City supports these agencies by providing CDBG funding opportunities, if requested by the agency.

The City also supports numerous agencies by providing funding from the city's contingency budget. Such agencies include: Rochester Senior Center, RNeighbors, Diversity Council, Youth Commission, SE MN Initiative Fund and Human Rights Commission. The City will also support these local agencies with CDBG funding opportunities, if requested by the agency.

**Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.**

The City of Rochester does not directly provide programs that address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans and unaccompanied youth) and persons at risk of homeless. The Continuum of Care for Rochester is provided through Three Rivers. These programs are delivered by private non-profit agencies such as: Rochester Area Family Y, Olmsted County HRA, SE MN Center for Independent Living, Bear Creek Services, Hiawatha Homes, Women's Shelter, Salvation Army, Zumbro Valley Mental Health Center, Community Housing Partnership, Catholic Charities and Lutheran Social Services.

The City of Rochester completed the Environmental Assessment Clearance for the Olmsted County HRA. This agency is providing Section 8 Rental Base Assistance for a project developed through Center City Housing. The project known as the Gage East project will provide 30 project-based housing vouchers, specifically for low-income families with children who have a history of homelessness.

**Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS**

The City of Rochester is not an entitlement for receiving ESG funds from the Department of Housing and Urban Development (HUD). HUD provides funding to service programs through their Continuum of Care (CoC program). Several local non-profit agencies receive funding: Salvation Army, Zumbro Valley Mental Health, Olmsted County HRA and Center City Housing.

**2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdiction's consultations with housing, social service agencies and other entities**



**Table 2 – Agencies, groups, organizations who participated**

1	<b>Agency/Group/Organization</b>	OLMSTED COUNTY HRA
	<b>Agency/Group/Organization Type</b>	Housing PHA Services - Housing Services-Children Services-Elderly Persons
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Public Housing Needs
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The Olmsted County HRA recently adopted a local tax levy. The City of Rochester is engaged with the Olmsted County HRA with the creation of a housing rehab program. In addition the City of Rochester expanded their Housing Rehab Loan program target area to be citywide. This will complement the HRA's proposed rehab program. Agency sent information regarding the availability of 2017 funding.
2	<b>Agency/Group/Organization</b>	BEAR CREEK SERVICES
	<b>Agency/Group/Organization Type</b>	Housing Services-Persons with Disabilities Publicly Funded Institution/System of Care
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Public Housing Needs
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Survey information located in the City's 2015-2019 Five Year Consolidated Plan. Agency sent information regarding the availability of 2017 funding.

3	<b>Agency/Group/Organization</b>	ROCHESTER AREA FOUNDATION - FIRST HOMES PROGRAM
	<b>Agency/Group/Organization Type</b>	Housing Services - Housing Business Leaders Civic Leaders Foundation
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Survey information located in the City's 2015-2019 Five Year Consolidated Plan. Agency sent information regarding the availability of 2017 funding.
4	<b>Agency/Group/Organization</b>	COMMUNITY HOUSING PARTNERSHIP, INC.
	<b>Agency/Group/Organization Type</b>	Housing Services - Housing Services-Education Business Leaders Civic Leaders
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Survey information located in the City's 2015-2019 Five Year Consolidated Plan. Agency sent information regarding the availability of 2017 funding.

5	<b>Agency/Group/Organization</b>	SE MN Center for Independent Living (SEMCIL)
	<b>Agency/Group/Organization Type</b>	Housing Services - Housing Services-Education Business Leaders Civic Leaders
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Survey information located in the City's 2015-2019 Five Year Consolidated Plan. Agency sent information regarding the availability of 2017 funding.
6	<b>Agency/Group/Organization</b>	Hiawatha Homes
	<b>Agency/Group/Organization Type</b>	Housing Services-Persons with Disabilities Publicly Funded Institution/System of Care Foundation
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Survey information located in the City's 2015-2019 Five Year Consolidated Plan. Agency sent information regarding the availability of 2017 funding.

7	<b>Agency/Group/Organization</b>	ZUMBRO VALLEY MENTAL HEALTH CENTER
	<b>Agency/Group/Organization Type</b>	Housing Services - Housing Services-Persons with Disabilities Services-Persons with HIV/AIDS Services-homeless Publicly Funded Institution/System of Care Neighborhood Organization
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Homeless Needs - Chronically homeless Homelessness Needs - Unaccompanied youth
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Survey information located in the City's 2015-2019 Five Year Consolidated Plan. Agency sent information regarding the availability of 2017 funding.
8	<b>Agency/Group/Organization</b>	SALVATION ARMY
	<b>Agency/Group/Organization Type</b>	Housing Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-homeless Publicly Funded Institution/System of Care Business Leaders Neighborhood Organization
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment

	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Survey information located in the City's 2015-2019 Five Year Consolidated Plan. Agency sent information regarding the availability of 2017 funding.
9	<b>Agency/Group/Organization</b>	ROCHESTER AREA HABITAT FOR HUMANITY
	<b>Agency/Group/Organization Type</b>	Housing Business Leaders Neighborhood Organization
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Survey information located in the City's 2015-2019 Five Year Consolidated Plan. Agency sent information regarding the availability of 2017 funding.
10	<b>Agency/Group/Organization</b>	Women's Shelter Rochester MN
	<b>Agency/Group/Organization Type</b>	Housing Services - Housing Services-Victims of Domestic Violence Publicly Funded Institution/System of Care
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Survey information located in the City's 2015-2019 Five Year Consolidated Plan. Agency sent information regarding the availability of 2017 funding.

11	<b>Agency/Group/Organization</b>	Accessible Space, Inc.
	<b>Agency/Group/Organization Type</b>	Housing Services-Persons with Disabilities Publicly Funded Institution/System of Care Major Employer
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Homeless Needs - Chronically homeless
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Survey information located in the City's 2015-2019 Five Year Consolidated Plan. Agency sent information regarding the availability of 2017 funding.
12	<b>Agency/Group/Organization</b>	Dorothy Day House of Hospitality
	<b>Agency/Group/Organization Type</b>	Housing Services - Housing Business Leaders Neighborhood Organization
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Survey information located in the City's 2015-2019 Five Year Consolidated Plan. Agency sent information regarding the availability of 2017 funding.

13	<b>Agency/Group/Organization</b>	United Way of Olmsted County
	<b>Agency/Group/Organization Type</b>	Services-Children Business Leaders Civic Leaders Neighborhood Organization
	<b>What section of the Plan was addressed by Consultation?</b>	Low income programs
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Survey information located in the City's 2015-2019 Five Year Consolidated Plan. Agency sent information regarding the availability of 2017 funding.
14	<b>Agency/Group/Organization</b>	Ability Building Center
	<b>Agency/Group/Organization Type</b>	Services-Persons with Disabilities Business Leaders Neighborhood Organization
	<b>What section of the Plan was addressed by Consultation?</b>	Non-Homeless Special Needs
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Survey information located in the City's 2015-2019 Five Year Consolidated Plan. Agency sent information regarding the availability of 2017 funding.
15	<b>Agency/Group/Organization</b>	SENIOR CENTER OF ROCHESTER
	<b>Agency/Group/Organization Type</b>	Services-Elderly Persons Business Leaders Civic Leaders

	<b>What section of the Plan was addressed by Consultation?</b>	Seniors
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Survey information located in the City's 2015-2019 Five Year Consolidated Plan. Agency sent information regarding the availability of 2017 funding.
16	<b>Agency/Group/Organization</b>	CENTER CITY HOUSING CORP
	<b>Agency/Group/Organization Type</b>	Housing Services - Housing Services-Children Services-homeless Services-Education Regional organization Neighborhood Organization
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Public Housing Needs Homeless Needs - Chronically homeless Homeless Needs - Families with children
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Survey information located in the City's 2015-2019 Five Year Consolidated Plan. Agency sent information regarding the availability of 2017 funding.

### Identify any Agency Types not consulted and provide rationale for not consulting

No agencies were intentionally not consulted for the process of the City's 2017 Annual Action Plan.



Through the review process of the City's Consolidated Plan the local CoC was contacted. The CoC provided information for the homelessness needs assessment. Information/data provided by the local CoC was also provided in the Comprehensive Housing Needs Assessment for Olmsted County, MN completed by Maxfield Research Inc.

### Other local/regional/state/federal planning efforts considered when preparing the Plan

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	Three Rivers	These programs are delivered by private non-profit agencies such as: Rochester Area Family Y, Olmsted County HRA, SE MN Center for Independent Living, Bear Creek Services, Hiawatha Homes, Women's Shelter, Salvation Army, Zumbro Valley Mental Health Center, Community Housing Partnership, Catholic Charities and Lutheran Social Services.

**Table 3 – Other local / regional / federal planning efforts**

### Narrative (optional)

Through the review process of the City's Consolidated Plan the local CoC was contacted. The CoC provided information for the homelessness needs assessment. Information/data provided by the local CoC was also provided in the Comprehensive Housing Needs Assessment for Olmsted County, MN completed by Maxfield Research, Inc. The Continuum of Care for Rochester is provided through Three Rivers. These programs are delivered by private non-profit agencies such as: Rochester Area Family Y, Olmsted County HRA, SE MN Center for Independent Living, Bear Creek Services, Hiawatha Homes, Women's Shelter, Salvation Army, Zumbro Valley Mental Health Center, Community Housing Partnership, Catholic Charities and Lutheran Social Services.

In addition the surveys conducted by the City of Rochester for its 2015-2019 Consolidated Plan, information/data that was included from the November, 2014 release of the Comprehensive Housing Needs Assessment for Olmsted County, Minnesota completed by the Maxfield Research, Inc. was accessed and used in City's 2015-2019 Consolidated Plan. The Maxfield Research, Inc. engaged with Olmsted County Community Services (in coordination with the Mayo Clinic, Rochester Area Foundation and City of Rochester) to conduct a Comprehensive Housing Needs Assessment for Olmsted County. The primary research included interviews with rental property managers and owners, developers, business

leaders, the local CoC regarding homeless data (Rochester is part of the SE MN Regional Continuum of Care (CoC) Network City staff and others involved in the housing market in Olmsted County.

The City of Rochester participated in the Comprehensive Housing Needs Assessment for Olmsted County, Minnesota, conducted by Maxfield Research, Inc. The Rochester Area Foundation, Mayo Clinic, City of Rochester and Olmsted County engaged towards the completion of the study. The study provides results of the Shelter Survey completed by the MN Department of Children, Families and Learning, conducted two times a year.

The City of Rochester works with a number of public and private agencies that address the needs of low-moderate income persons, including but not limited to, affordable housing issues, coordinated housing assistance and services of homeless persons. The City supports these agencies by providing CDBG funding opportunities, if requested by the agency.

The City also supports numerous agencies by providing funding from the city's contingency budget. Such agencies include: Rochester Senior Center, RNeighbors, Diversity Council, Youth Commission, SE MN Initiative Fund and Human Rights Commission. The City will also support these local agencies with CDBG funding opportunities, if requested by the agency.

## **AP-12 Participation – 91.105, 91.200(c)**

### **1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal-setting**

A notice published in local newspaper that the City is accepting applications for its 2017 CDBG funding. The notice identifies the City of Rochester priority needs identified as: affordable housing, senior programs, public services needs including activities benefiting handicapped persons, youth services and transportation services.

No comments were received during the 30 day public comment period, October 14, 2016 - November 14, 2016.

## Citizen Participation Outreach

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
1	Newspaper Ad	<p>Non-English Speaking - Specify other language: Spanish</p> <p>Persons with disabilities</p> <p>Non-targeted/broad community</p>	13 agencies submitted requests for the 2017 CDBG funding. Of the 13 agencies, six agencies had representatives that attended and spoke at the public hearing.	The six agencies that attended and spoke at the public hearing explained their programs and their 2017 CDBG requests to City Council.	No comments not accepted.	
2	Public Hearing	<p>Non-English Speaking - Specify other language: Spanish</p> <p>Persons with disabilities</p> <p>Non-targeted/broad community</p>	13 agencies submitted requests for the 2017 CDBG funding. Of the 13 agencies, six agencies had representatives that attended and spoke at the public hearing.	The six agencies that attended and spoke at the public hearing explained their programs and their 2017 CDBG requests to City Council.	No comments not accepted.	

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
3	Mailed 2017 requests notice to 35 agencies working with low-moderate income persons	<p>Non-English Speaking - Specify other language: Spanish</p> <p>Persons with disabilities</p> <p>Non-targeted/broad community</p>	13 agencies submitted requests for the 2017 CDBG funding. Of the 13 agencies, six agencies had representatives that attended and spoke at the public hearing.	The six agencies that attended and spoke at the public hearing explained their programs and their 2017 CDBG requests to City Council.	No comments not accepted.	

**Table 4 – Citizen Participation Outreach**

## Expected Resources

### AP-15 Expected Resources – 91.220(c) (1, 2)

#### Introduction

#### Priority Table

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Reminder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	535,000	40,000	0	575,000	0	

Table 5 - Expected Resources – Priority Table

**Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied**

Agencies awarded CDBG funds will provide the additional resources through their budget which may include private, state or local funds to complete their activity.

**If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs**

**identified in the plan**

NA

**Discussion**

Agencies applying for CDBG funds are required to include information how they will leverage additional resources to complete their activity.

## Annual Goals and Objectives

### AP-20 Annual Goals and Objectives - 91.420, 91.220(c)(3)&(e)

#### Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
<b>1</b>	Affordable Housing	2015	2019	Affordable Housing		Owner Occupied Rehabilitation Emergency Shelter for the Homeless Special Needs Housing	CDBG: \$428,000	Rental units rehabilitated: 14 Household Housing Unit Homeowner Housing Rehabilitated: 35 Household Housing Unit Homeless Person Overnight Shelter: 398 Persons Assisted
<b>2</b>	Public Service	2015	2019	Non-Housing Community Development		Emergency Shelter for the Homeless Youth Programs	CDBG: \$39,400	Public service activities other than Low/Moderate Income Housing Benefit: 1400 Persons Assisted Public service activities for Low/Moderate Income Housing Benefit: 398 Households Assisted
<b>3</b>	Public Facilities	2015	2019	Non-Housing Community Development		Public Facilities	CDBG: \$10,000	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 496 Persons Assisted

**Table 6 – Goals Summary**

#### Goal Descriptions



1	Goal Name	Affordable Housing
	Goal Description	
2	Goal Name	Public Service
	Goal Description	
3	Goal Name	Public Facilities
	Goal Description	

**Table 7 – Goal Descriptions**

**Estimate the number of extremely low-income, low-income, and moderate-income families to whom the jurisdiction will provide affordable housing as defined by HOME 91.215(b):**

The City of Rochester estimates a total of 35 low-income and moderate income households will be provided affordable housing through the City's Single Family Rehab 2% Loan activity and fourteen (14) households will receive assistance through the Accessible Space activity.

## AP-35 Projects – 91.220(d)

### Introduction

Through the public hearing process and its adopted Citizen Participation Plan, the City of Rochester allocated an estimated \$517,400 to serve low and moderate income persons/households within the City of Rochester.

#	Project Name
1	Accessible Space
2	City's 2% Home Rehab Loan Program
3	Ability Building Center
4	Boys & Girls Club of Rochester
5	Sports Mentorship Academy
6	Women's Shelter
7	Women's Shelter
8	CDBG Program Administration
9	City's 2% Home Rehab Loan Program Administration

**Table 8 – Project Information**

**Describe the reasons for allocation priorities and any obstacles to addressing underserved needs**

## Projects

### AP-38 Projects Summary

#### Project Summary Information

Table 9 – Project Summary

1	<b>Project Name</b>	Accessible Space
	<b>Target Area</b>	
	<b>Goals Supported</b>	Affordable Housing
	<b>Needs Addressed</b>	Rehabilitation of Rental Units
	<b>Funding</b>	:
	<b>Description</b>	Accessible Space provides accessible and affordable housing across the country. Consumers have physical disabilities, traumatic brain injuries and/or mobility impairments.
	<b>Target Date</b>	12/31/2017
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	14 handicapped households.
	<b>Location Description</b>	1600 Eastwood Road SE, Rochester, MN 55904
	<b>Planned Activities</b>	Complete improvements at the Bostrom Terrace Accessible Housing unit. Replace flooring, E-call system, security camera system, door openers, carpet and waterheater.
2	<b>Project Name</b>	City's 2% Home Rehab Loan Program
	<b>Target Area</b>	

	<b>Goals Supported</b>	Affordable Housing
	<b>Needs Addressed</b>	Owner Occupied Rehabilitation
	<b>Funding</b>	CDBG: \$350,000
	<b>Description</b>	Provide a two percent (2%) simple interest bearing deferred loan of up to \$30,000 per unit to low-moderate income owner occupants for rehabilitation of substandard single family structures. Applicants are accepted throughout the year on a first-come, first-serve basis and placed on an existing waiting list. The 2% simple interest bearing loans must be repaid when the property is sold or transferred or if the property ceases to be the owner's principal place of residence. Properties eligible must be located in the approved target areas, located within the City of Rochester. The program is administered by staff of the Rochester-Olmsted Planning Department.
	<b>Target Date</b>	12/31/2017
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	35 low-moderate income households
	<b>Location Description</b>	Council approved city-wide target area.
<b>3</b>	<b>Planned Activities</b>	Provide a two percent (2%) simple interest bearing deferred loan of up to \$30,000 per unit to low-moderate income owner occupants for rehabilitation of substandard single family structures. Applicants are accepted throughout the year on a first-come, first-serve basis and placed on an existing waiting list. The 2% simple interest bearing loans must be repaid when the property is sold or transferred or if the property ceases to be the owner's principal place of residence. Properties eligible must be located in the approved target areas, located within the City of Rochester. The program is administered by staff of the Rochester-Olmsted Planning Department.
	<b>Project Name</b>	Ability Building Center
	<b>Target Area</b>	

	<b>Goals Supported</b>	Public Facilities
	<b>Needs Addressed</b>	Public Facilities
	<b>Funding</b>	CDBG: \$10,000
	<b>Description</b>	The Ability Building Center is a non-profit providing rehabilitation and employment services for persons with disabilities and other special needs. CDBG funding allocated to assist with replacing 10 year old access control system and flooring at the main facility.
	<b>Target Date</b>	12/31/2017
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	496 individuals
	<b>Location Description</b>	1911 NW 14th Street, Rochester, MN
	<b>Planned Activities</b>	
4	<b>Project Name</b>	Boys & Girls Club of Rochester
	<b>Target Area</b>	
	<b>Goals Supported</b>	Public Service
	<b>Needs Addressed</b>	Youth Programs
	<b>Funding</b>	CDBG: \$9,400
	<b>Description</b>	Support Healthy Meals for Healthy Kids
	<b>Target Date</b>	12/31/2017
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	1,350 Youth from low-moderate income households

	<b>Location Description</b>	1026 East Center Street, Rochester, MN 55904
	<b>Planned Activities</b>	Youth will have access to healthy meals after school and during summer for the purpose of enhancing their suitable living environment, and to make the basic need of healthy food and nutrition education available.
<b>5</b>	<b>Project Name</b>	Sports Mentorship Academy
	<b>Target Area</b>	
	<b>Goals Supported</b>	Public Service
	<b>Needs Addressed</b>	Youth Programs
	<b>Funding</b>	CDBG: \$10,000
	<b>Description</b>	Sports Mentorship Academy, a non-profit organization preventing juvenile delinquency and academic failure among high risk male students in grades five through twelve.
	<b>Target Date</b>	12/31/2017
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	50 high risk youth from low-moderate income families.
	<b>Location Description</b>	3270 NW 19th Street, Suite 208, Rochester, MN 55901
	<b>Planned Activities</b>	Continue work of Community Gang Initiative. Partner with local colleges and collegiate athletes providing intervention to high risk male students.
<b>6</b>	<b>Project Name</b>	Women's Shelter
	<b>Target Area</b>	
	<b>Goals Supported</b>	Affordable Housing
	<b>Needs Addressed</b>	Emergency Shelter for the Homeless

	<b>Funding</b>	CDBG: \$20,000
	<b>Description</b>	Women's Shelter a non-profit agency providing emergency and transitional housing for battered women.
	<b>Target Date</b>	12/31/2017
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	2,500 low-moderate income households
	<b>Location Description</b>	PO Box 457, Rochester, MN 55903
	<b>Planned Activities</b>	Provide assistance with a 1.4 FTE staff position, including salary, payroll, taxes and fringe.
	<b>Planned Activities</b>	Provide assistance with a 1.4 FTE staff position, including salary, payroll, taxes and fringe.
7	<b>Project Name</b>	Women's Shelter
	<b>Target Area</b>	
	<b>Goals Supported</b>	Affordable Housing
	<b>Needs Addressed</b>	Emergency Shelter for the Homeless
	<b>Funding</b>	CDBG: \$15,600
	<b>Description</b>	
	<b>Target Date</b>	12/31/2017
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	398 low-moderate income households
	<b>Location Description</b>	PO Box 457, Rochester, MN 55903
	<b>Planned Activities</b>	Replace rafter tails encompassing all sides of the roof on the Main Shelter.
	<b>Project Name</b>	CDBG Program Administration

8	<b>Target Area</b>	
	<b>Goals Supported</b>	
	<b>Needs Addressed</b>	
	<b>Funding</b>	CDBG: \$40,000
	<b>Description</b>	Funds to assist with the overall program management, coordination, monitoring and evaluation of the CDBG program for the City of Rochester. Staffing provided by the Rochester-Olmsted Planning Department.
	<b>Target Date</b>	12/31/2017
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	NA
	<b>Location Description</b>	2122 Campus Drive SE, Suite 100, Rochester, MN 55904
	<b>Planned Activities</b>	NA
9	<b>Project Name</b>	City's 2% Home Rehab Loan Program Administration
	<b>Target Area</b>	
	<b>Goals Supported</b>	Affordable Housing
	<b>Needs Addressed</b>	Owner Occupied Rehabilitation
	<b>Funding</b>	CDBG: \$50,000
	<b>Description</b>	Funds to assist with program administration of the City's Single Family Rehabilitation 2% Loan Program. Staffing provided by the Rochester-Olmsted Planning Department.
	<b>Target Date</b>	12/31/2017



	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	35 low-moderate income households.
	<b>Location Description</b>	Citywide
	<b>Planned Activities</b>	



## **AP-50 Geographic Distribution – 91.220(f)**

### **Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed**

The City of Rochester does not have any areas of low-income and/or minority concentration. Activities for the 2017 Action Plan distributed as follows:

City's Single Family 2% Rehab Loan Program: Citywide

Accessible Space (Accessible Housing) located in Census Tract: 9.01

Women's Shelter (homeless overnight shelter) located in Census Tract: 5

Ability Building Center (public facility serving handicapped individuals) located in Census Tract: 14.01

Boys & Girls Club (serving youth) located in Census Tract: 2

Sports Mentorship (serving troubled youth): Citywide

### **Geographic Distribution**

<b>Target Area</b>	<b>Percentage of Funds</b>

**Table 10 - Geographic Distribution**

### **Rationale for the priorities for allocating investments geographically**

Target area for the City's Home Rehab Program was expanded to citywide. The target area was expanded due to age of housing and possibility of lead based paint in the structures. Most structures in the Target Area are pre-1978.

77% of the 2017 CDBG funds are allocated to this target area.

### **Discussion**

## Affordable Housing

### AP-55 Affordable Housing – 91.220(g)

#### Introduction

One Year Goals for the Number of Households to be Supported	
Homeless	398
Non-Homeless	35
Special-Needs	14
Total	447

**Table 11 - One Year Goals for Affordable Housing by Support Requirement**

One Year Goals for the Number of Households Supported Through	
Rental Assistance	0
The Production of New Units	0
Rehab of Existing Units	35
Acquisition of Existing Units	0
Total	35

**Table 12 - One Year Goals for Affordable Housing by Support Type**

#### Discussion

## **AP-60 Public Housing – 91.220(h)**

### **Introduction**

During the past year, the OCHRA has gone through a reorganization of staff and location. The reorganization included physically moving the staff responsible for OCHRA programs (including public housing) under the direction of Olmsted County Community Services (previously located in the Rochester-Olmsted Planning Department). A new Housing Director and Housing Manager has been placed on staff.

In addition the Olmsted County Board sought legislation and was approved allowing the County Board Members to also be the members of HRA board.

### **Actions planned during the next year to address the needs to public housing**

The OCHRA is developing a Capital Improvement Plan (CIP) to address the short and long term needs of the three public housing complexes and 20 single family homes. The CIP, once completed, will allow the OCHRA to more accurately plan for property renovations and improvements, and supplement those needs with additional funding opportunities. In the next year, the OCHRA is planning to address playground needs and perimeter safety lighting at two complexes.

### **Actions to encourage public housing residents to become more involved in management and participate in homeownership**

The OCHRA has instituted weekly office hours at two of the public housing complexes in the last year. This has encouraged residents to form a relationship with staff and create a venue in which to voice property safety and household concerns. It also allows staff to respond in a thoughtful and timely manner.

Staff is also working to create resident commissions at each site, with select members then also sitting on a Resident Advisory Board (RAB). This provides the residents with a formal avenue to address their concerns about their property, and bring concerns forward to the larger RAB. The RAB is also the formal input to the PHA Plan. This committee structure is intended to be in place in 2017.

### **If the PHA is designated as troubled, describe the manner in which financial assistance will be**

**provided or other assistance**

NA

**Discussion**

## **AP-65 Homeless and Other Special Needs Activities – 91.220(i)**

### **Introduction**

The City of Rochester does not administer homeless and other special needs activities directly.

### **Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including**

#### **Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs**

The City of Rochester will continue to offer and consider requests to agencies that work with homeless persons (especially unsheltered persons) that assess their individual needs.

Agencies that work with homeless person were provided information that the City of Rochester is considering requests for the 2017 CDBG program.

#### **Addressing the emergency shelter and transitional housing needs of homeless persons**

**Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again**

The City of Rochester does not administer homeless programs directly, but will continue to offer and consider requests from agencies that work with helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) making the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless form becoming homeless again.

The Olmsted County HRA will be providing 30 project based housing vouchers to be used at the Gage East project. The vouchers are specifically for low-income families with children who have a history of homelessness. The Gage East project opened its doors in September, 2016. The vouchers are specifically for low-income families with children who have a history of homelessness. The Gage East project opened its doors in September, 2016. The new 55-unit building for young people and families who have been homeless or challenged by "difficult rental histories". The average tenants will pay one-third of their income to live there, she said, with no time limit on how long they can stay.

**Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.**

The City of Rochester does not administer homeless programs directly, but will continue to offer and consider requests from agencies that work with helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) making the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again.

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## **Discussion**

During the 2017 CDBG application process, the City of Rochester received two requests to assist addressing housing/supportive service needs of non-homeless persons with special needs. The



Women's Shelter was awarded 2017 funding to assist with the cost of staffing and building improvements at the Main Shelter.

The City will continue to offer and consider requests from agencies that assist with housing/supportive.

The City will continue to offer and consider requests from agencies that assist with housing/supportive service needs of non-homeless persons with special needs.

<b>One year goals for the number of households to be provided housing through the use of HOPWA for:</b>
Short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or family
Tenant-based rental assistance
Units provided in housing facilities (transitional or permanent) that are being developed, leased, or operated
Units provided in transitional short-term housing facilities developed, leased, or operated with HOPWA funds
Total

## **AP-75 Barriers to affordable housing – 91.220(j)**

### **Introduction**

The City of Rochester is currently working with a consultant to complete a Comprehensive Plan update. This plan will include a housing component. The draft issue of the Comprehensive Plan update is proposed by the end of 2016.

### **Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment**

Rochester is a growing community and that growth is anticipated to continue. Growth and change presents both opportunities and challenges. Long-range planning is needed to position the City to address its long-term needs; to be resilient; to support changing demographics; and to address housing demand, multi-modal transportation needs, growth in jobs and visitors, and more; while working with finite resources. Rochester's long-range planning effort, referred to as "Planning to Succeed" or P2S, will update the City's Comprehensive Plan. The draft of this plan is due by the end of 2016.

The update will include numerous opportunities for community discussion and dialogue beginning 2014 through 2017. Final approval of the plan is anticipated by 2017. A Comprehensive Plan Task Force group will serve as process and content "stewards" for the preparation of the plan and associated community engagement process.

The Olmsted County Board has authorized a levy of special benefit tax by the Olmsted County Housing and Redevelopment Authority (OCHRA) up to 0.0185 percent. This levy will support the OCHRA's housing programs which include new construction of affordable housing and rehabilitation of existing housing units.

### **Discussion**

## **AP-85 Other Actions – 91.220(k)**

### **Introduction**

#### **Actions planned to address obstacles to meeting underserved needs**

The City of Rochester does not provide any programs that directly address the reduction of poverty level for persons (including worst-case & underserved needs, and persons with disabilities) residing within the City of Rochester. Most programs working with poverty level persons (including worse-case & underserved needs, and persons with disabilities) are addressed through the Community Services Department of Olmsted County (including the City of Rochester) and private non-profit agencies.

The City of Rochester acknowledges that the major obstacle to meeting underserved needs is the lack of funding and recognizes the need for such programs by supporting agencies that work with state and federal sources on obtaining financing for their programs. In addition, the City of Rochester ensures compliance with program and comprehensive planning requirements.

Agencies providing housing assistance continue to investigate funding sources to meet the needs of housing, and provide some incentives for builders, developers and property management / owners.

#### **Actions planned to foster and maintain affordable housing**

The City of Rochester recognizes the need for such programs that assists in the elimination of barriers to affordable housing by supporting agencies that work with state and federal sources on obtaining financing for their programs.

The City of Rochester will work with the Olmsted County HRA in establishing a rehabilitation program for existing housing with funding provided by the approved Olmsted County HRA tax levy.

In 2016, the Minnesota Housing Finance Agency and their funding partners have announced an investment of \$92.4 million for developments that will create and preserve 1,420 units of affordable housing statewide.

Approved projects in the Rochester area include:

Three Rivers Community Action, Inc. – Affordable Gap Financing Single Family - \$222,000 (funding

source MN Housing, Greater MN Housing Fund).

Rochester - IAF Development, LLC – 68 unit Multifamily - \$13,687,130 (funding source MN Housing).

### **Actions planned to reduce lead-based paint hazards**

The City of Rochester will continue to provide funding to their Home Rehab program. The City of Rochester has integrated the Final Rule – Lead Safe Housing Regulation, effective September 15, 2000 into the City's Single Family Home Rehabilitation 2% Loan Program. Currently there are 69 applicants on the waiting list for the City's Home Rehabilitation program. Since some of the properties were built prior to 1978. It is unclear, at this time, as to how many properties may be completed

All participants of the City's Home Rehabilitation Program receive brochures on the hazards of lead base paint, and are requested to complete and sign a lead base paint certification and sign that they have received a copy of the US Department of Housing and Urban Development, Lead Base Paint Notification form. A lead-based paint risk assessment test and clearance is conducted on all properties receiving CDBG funding.

### **Actions planned to reduce the number of poverty-level families**

The City of Rochester does not provide any programs that directly address the reduction of poverty level for persons (including worst-case & underserved needs, and persons with disabilities) residing within the City of Rochester. Most programs working with poverty level persons (including worse-case & underserved needs, and persons with disabilities) are addressed through the Community Services Department of Olmsted County (including the City of Rochester) and private non-profit agencies.

The City of Rochester acknowledges that the major obstacle to meeting underserved needs is the lack of funding and recognizes the need for such programs by supporting agencies that work with state and federal sources on obtaining financing for their programs. In addition, the City of Rochester ensures compliance with program and comprehensive planning requirements.

Agencies providing housing assistance continue to investigate funding sources to meet the needs of

housing, and provide some incentives for builders, developers and property management / owners.

### **Actions planned to develop institutional structure**

The City of Rochester will continue to work in partnership with agencies that address affordable housing, youth services, senior services, and other public services to low and low-moderate income persons.

### **Actions planned to enhance coordination between public and private housing and social service agencies**

The City of Rochester works with a number of public and private agencies addressing the needs of low-moderate income persons, including but not limited to, affordable housing issues. The City supports numerous agencies by providing funding from the City's contingency budget. Such agencies include: Rochester Senior Center, RNeighbors, Diversity Council, Youth Commission, SE MN Initiative Fund and Human Rights Commission. The City also supports local agencies by providing CDBG funding opportunities, if requested by the agency.

Through a cooperation agreement with the City of Rochester, the Rochester-Olmsted County Planning Department provides staffing for administration of the CDBG program and administration of the city's 2% Home Rehabilitation Loan Program (CDBG funded) and its CDBG program.

### **Discussion**

## Program Specific Requirements

### AP-90 Program Specific Requirements – 91.220(I)(1,2,4)

#### Introduction

#### Community Development Block Grant Program (CDBG)

##### Reference 24 CFR 91.220(I)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	0
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
<b>Total Program Income:</b>	<b>0</b>

#### Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	92.00%

## **Discussion**

Overall Benefit is a consecutive period of three years to determine overall benefit of low and moderate income persons.

## Attachments



## Grantee SF-424's and Certification(s)

OMB Number: 4040-0004

Expiration Date: 8/31/2016

### ***Application for Federal Assistance SF-424***

\* 1. Type of Submission:                      \* 2. Type of Application:                      \* If Revision, select appropriate letter(s):

Preapplication

New

Application

Continuation

\* Other (Specify):

Changed/Corrected Application

Revision

\* 3. Date Received:

4. Applicant Identifier:

5a. Federal Entity Identifier:

5b. Federal Award Identifier:

#### **State Use Only:**

6. Date Received by State:

7. State Application Identifier:

#### **8. APPLICANT INFORMATION:**

\* a. Legal Name:      Rochester, Minnesota

\* b. Employer/Taxpayer Identification Number (EIN/TIN):

\* c. Organizational DUNS:

d. Address:

\* Street1:

Street2:

\* City:

County/Parish:

\* State:

Province:

\* Country:

\* Zip / Postal Code:

**e. Organizational Unit:**

Department Name:

Division Name:

**f. Name and contact information of person to be contacted on matters involving this application:**

Prefix:

Middle Name:

\* Last Name:

Suffix:

Title: Senior Planner / CDBG Administrator

Organizational Affiliation:

\* Telephone Number:

Fax Number:

\* Email: fogarty.theresa@co.olmsted.mn.us

**Application for Federal Assistance SF-424**

**\* 9. Type of Applicant 1: Select Applicant Type:**

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

\* Other (specify):

**\* 10. Name of Federal Agency:**

**11. Catalog of Federal Domestic Assistance Number:**

CFDA Title:

**\* 12. Funding Opportunity Number:**

\* Title:

**13. Competition Identification Number:**

Title:

**14. Areas Affected by Project (Cities, Counties, States, etc.):**

**\* 15. Descriptive Title of Applicant's Project:**

Attach supporting documents as specified in agency instructions.

<b>Application for Federal Assistance SF-424</b>	
<b>16. Congressional Districts Of:</b>	
* a. Applicant      One	* b. Program/Project
Attach an additional list of Program/Project Congressional Districts if needed.	
<a href="#">Add Attachment</a> <a href="#">Delete Attachment</a> <a href="#">View Attachment</a>	
<b>17. Proposed Project:</b>	
* a. Start Date:    01/01/2017	* b. End Date:    12/31/2017
<b>18. Estimated Funding (\$):</b>	
* a. Federal * b. Applicant * c. State * d. Local * e. Other * f. Program Income * g. TOTAL	
<b>* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?</b>	
a. This application was made available to the State under the Executive Order 12372 Process for review on _____ b. Program is subject to E.O. 12372 but has not been selected by the State for review. c. Program is not covered by E.O. 12372.	
<b>* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)</b>	
Yes                      No  If "Yes", provide explanation and attach	
<a href="#">Add Attachment</a> <a href="#">Delete Attachment</a> <a href="#">View Attachment</a>	
<b>21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)</b>  ** I AGREE  ** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.	
<b>Authorized Representative:</b>	
Prefix:	<div style="border: 1px solid black; width: 150px; height: 20px;"></div> <div style="border: 1px solid black; width: 150px; height: 20px;"></div>
* First Name:	Ardell
Middle Name:	F.
* Last Name:	Brede
Suffix:	
* Title:	Mayor

* Telephone Number: 507-328-2700	Fax Number: 507-328-2727
* Email: abrede@rochestermn.gov	
* Signature of Authorized Representative:	* Date Signed:

## CERTIFICATIONS

In accordance with the applicable statutes and regulations governing the Consolidated Plan, the City of Rochester, Minnesota certifies that:

**Affirmatively Further Fair Housing.** The City of Rochester will affirmatively further fair housing which means it has conducted an Analysis of Impediments to Fair Housing Choice within the City of Rochester, take appropriate action to overcome the effects of any impediments identified through the analysis, and maintain records reflecting that analysis and actions in this regard.

**Anti-Displacement and Relocation Plan.** It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME Programs.

**Anti-Lobbying.** To the best of the City of Rochester's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any persons for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress, an officer or employee of Congress in connection with this Federal contract, grant, loan or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying", in accordance with its instructions; and

3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts under grants, loans and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

**Authority of Jurisdiction.** The Consolidated Plan is authorized under State and local law (as applicable) and the City of Rochester possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

**Consistency with Plan.** The housing activities to be undertaken with CDBG, HOME, ESPG and HOPWA funds are consistent with the strategic plan.

**Section 3.** The City of Rochester will comply with Section 3 of the Housing and Urban Development Act of 1968 and implementing regulations at 24CFR, Part 135.



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**Ardell F. Brede, Mayor**

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Date

201 Fourth Street SE

Rochester, MN 55904

507-328-2700

## SPECIFIC CDBG CERTIFICATIONS

The City of Rochester, Minnesota certifies that

**Citizen Participation.** It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105. This was revised and adopted by the Rochester City Council on April 3, 2006.

**Community Development Plan.** It's Consolidated Housing and Community Development Plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24.507. and CFR 25 Part 570).

**Following a Plan.** It is following a current consolidated plan that has been approved by HUD.

**Use of Funds.** It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slum or blight. The Action Plan may also include activities which the grantee certifies are designed to meet the other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available;
2. **Overall Benefit.** The aggregate use of CDBG funds including Section 108 guaranteed loans during program years 2015, 2016, 2017 shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. **Special Assessment.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons with low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.



However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The City of Rochester will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate income (not low income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds, if the City of Rochester certifies that it lacks CDBG funds to cover the assessment.

**Excessive Force.** It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.

**Compliance with Anti-discrimination laws.** The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

**Lead Base Paint.** Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K and R.

**Compliance with Laws.** It will comply with applicable laws.



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**Ardele F. Brede, Mayor**

201 Fourth Street SE

Rochester, MN 55904

507-328-2700

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Date

